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For the President of the European Patent Office

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Si aucun titre n'est indiqué se referer à la description.)

**Method for decoding data using windows of data**

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## FIELD OF THE INVENTION

The present invention relates to a method for decoding data said method comprising iterations with some steps using windows of input data.

5      Such a method may be used in particular in any system using UMTS standard or some satellite communication.

## BACKGROUND OF THE INVENTION

In a system using the UMTS standard defined in the standard 3GPP (3GPP TS 10      25.212); data are decoded when they are received in a receiver of such a system. Such a receiver comprises a decoder on an integrated circuit. Said decoder also currently called turbo-decoder comprises two soft input-soft output steps also called SISO that are inter-dependant. A SISO step means the decoding of input data alternatively with interleaved data streams (called SISO2) or with non-interleaved data streams (called SISO1).

15      During the decoding process, a trellis of the possible states of the coding is defined.

In the document referenced "Implementation issues of 3$^{rd}$ generation mobile communication turbo decoding". (J.Dielissen and J.Huisken). In 21$^{st}$ symposium on information theory in Benelux, page 9-16, May 2000. Wassenaar, The Nederlands", the decoding of a data block B is done in the following manner.

20      A SISO step manages some forward recursion processing forward state metric vectors $\alpha$, some backward recursion processing state metric vectors $\beta$ and these state metric vectors are used in an extrinsic calculation outputting some probability factors $\lambda$, within the trellis of possible states of coding.

In order to perform the decoding, a system of sliding windows is used. It is based 25      on a split of the input data received by the receiver into windows of data.

The principle of the sliding windows is shown on Figure 1.

A data block B received by the decoder is split into windows of size W and one unique computation unit is implementing the 2 SISO steps. Said unit computes in a 30      sequential way, window after window the state metrics and the probability factor of each SISO steps. A plurality of iterations is performed in order to converge to the right factor of probability. A single iteration comprises a first and a second SISO steps.

At a first iteration ITER_N, the computation unit performs the computations of the first SISO step SISO1.

35      For a first window 0-W, the computation unit does a first forward recursion and processes a first set of forward state metrics $\alpha$. During the second window W-2W, the computation unit performs a second forward recursion and processes a second set of

forward of state metrics $\alpha$. In parallel with this second forward recursion, it performs a first backward recursion that processes a first set of backward state metrics $\beta$. Note that the probability-factor-$\lambda$-calculation-starts-immediately-after-the-corresponding-backward-state metrics vector $\beta$ has been calculated.

5         During the third window 2W-3W, the computation unit performs a third forward recursion, and a second backward recursion and so on until the last window (B-W)-B of the first iteration ITER_N.

Then the computation unit performs exactly the same kind of computations for the second SISO step SISO2.

10        Note that, during backward recursion, at each new window the last backward state metric vector $\beta$ is saved. It will be used to initialize the next iteration's previous window at the same SISO step (SISO 1 in case of SISO 1 and SISO 2 in case of SISO 2). One window is thus dependant from another window.

One major problem of the prior art is that for high throughput of data, the solution

15        of the prior art is too time consuming.

**SUMMARY OF THE INVENTION**

Accordingly, it is an object of the invention to provide a method and a decoder for decoding data using windows of input data, which achieve an efficient decoding by

20        improving the time consuming of the SISO computing.

To this end, there is provided a method comprising, for a current window of a step within an iteration, the steps of:

- Performing a forward recursion, wherein said forward recursion is initialized with a forward state metric vector from the upper stake of a previous window of the

25        same step of a previous iteration, a window comprising a lower and an upper stakes, and

- Performing a backward recursion, wherein said backward recursion is initialized with a backward state metric vector from the lower stake of a next window of the same step of a previous iteration.

30

In addition, there is provided a decoder, which comprises computation units for performing, for a current window of a step within an iteration:

- A forward recursion, wherein said forward recursion is initialized with a forward state metric vector from the upper stake of a previous window of the same step

35        of a previous iteration, a window comprising a lower and an upper stakes, and

- A backward recursion, wherein said backward recursion is initialized with a backward state metric vector from the lower stake of a next window of the same state of a previous iteration.

As we will see in detail further on, such a method enables to reduce the time taken. Via the initializations made for a window from a same kind of step of a previous iteration and not of the iteration it belongs to, all the windows computations of a step will be able to be done in parallel.

**BRIEF DESCRIPTION OF THE DRAWINGS**

Additional objects, features and advantages of the invention will become apparent upon reading the following detailed description and upon reference to the accompanying drawings in which:

- Fig. 1 illustrates a data flow temporal view using a sliding window principle according to the prior art,
- Fig. 2 illustrates a trellis of possible states of a coding which is used by the method of the invention,
- Fig. 3 illustrates a data flow temporal view using a sliding window principle according to the method of the invention, and
- Fig. 4 illustrates two other structures of decoding using a sliding window principle to which the method of the invention is applicable.

**DETAILED DESCRIPTION OF THE INVENTION**

In the following description, well-known functions or constructions by a person skilled in the art are not described in detail since they would obscure the invention in unnecessary detail.

The present invention relates to a method for decoding data using sliding windows. Said method is used in particular in a turbo-decoder within an integrated circuit, said integrated circuit being embedded in a UMTS communication system comprising a transmitter and a receiver and more particularly in said receiver, for example a receiver of a base station.

The receiver receives some input data, which are coded by common general coding schemes well known by the person skilled in the art. The receiver through its turbo decoder has to decode the coded input data in order to recover the signal, which was transmitted by the transmitter.

To this end, during the decoding, a trellis of the possible states of the coding is defined, and the decoding process comprises two SISO steps (Soft Input Soft Output),

wherein the SISO steps are the decoding of a window of data WID (define in details afterwards) or a complete signal, wherein said decoding comprises a forward recursion, a backward recursion and an extrinsic calculation with interleaved data (called SISO2) and with non-interleaved data (called SISO1) respectively.

5        As illustrated in Figure 2, the trellis is composed of 8 possible states STATE. Given an input data also called the systematic, the corresponding coded input data also called the parity input data and the a-priori information (from the previous SISO step), each transition from a state to another state is characterized by a branch metric vector $\gamma$. At each trellis step a forward state metric vector $\alpha$ is computed from the previous state metric vector $\alpha$ and the

10      associated branch metric vector $\gamma$, and a backward state metric vector $\beta$ is computed from the next state metric vector $\beta$ and the associated branch metric vector $\gamma$. When at a given trellis step both state metric vectors ($\alpha$ and $\beta$) have been calculated, an extrinsic value, i.e. a probability factor $\lambda$ can be processed from these state metrics and the branch metric vectors.

15      Each SISO step interacts with the other by using information coming from the other SISO. A SISO step outputs an extrinsic calculation $\lambda$, which is used by the next SISO step as A-priori information

       This extrinsic information evaluates the probability that a 0 or a 1 signal was transmitted

20      A plurality of iterations of SISO steps is performed in order to converge gradually to the right factor of probability $\lambda$ and thus to the right coded signal. A single iteration comprises a first SISO1 and a second SISO2 steps.

       In order to decode the received input data, the turbo decoder uses a system of

25      sliding windows of data that is applied on the input data. The system of sliding windows is based on a split of an input data block B into windows of data, a window WID of data having a size that can be different from other windows WID.

       Note that with the block B of data, some tail bits are transmitted by the transmitter transmits to the receiver, and thus to the decoder.

30      The decoding of an input data block B is done as following.

       The whole data block B is split preferentially into equal size windows WID. A computation unit COMP is allocated to each window WID of a data block B in order to reduce the latency of the decoding. A window comprises some initialization points that are called stakes STK.

35      Two stakes STK, a lower one and an upper one characterize a window WID. Practically we can see on the Figure 3 that the lower stake also represents the low limit of a window, and the upper stake the upper limit of a window.

The upper stakes initialized by the previous window WID computations of the previous iteration SISO step, are used for the computing of the forward state metric vector $\alpha$ of the current window WID of the current iteration's respective SISO step.

The lower stakes initialized by the next window WID computations of the previous iteration SISO step, are used for the computing of the backward state metric vector $\beta$ of the current window WID of the current iteration's respective SISO step.

Note that the tail bits transmitted with the block B of data to be decoded are used to initialize the backward recursion of the last window WID. The last state metric vector $\beta$ is obtained by doing a trace back from the known initial state 0 STATE0 using said tail bits. This is done at the beginning of the decoding process by a unit called termination generator.

At the beginning of decoding, the stakes STK can be initialized with a uniform arbitrary state metric values (e.g. 0). Naturally, efficient Implementation-BCJR initialization technique (a training forward and backward recursion is done to initialize the first iteration instead of arbitrary values), well known by the person skilled in the art, can also be used but as it is really time consuming, it can affect overall performance.

Each computation unit COMP of the turbo decoder can then independently process its associated window WID during a SISO step:
- The forward recursion is initialized with the forward state metric $\alpha$ value from the upper stake of the previous window in the previous respective SISO step, i.e. the previous window of the same SISO step (SISO1 or SISO2) in the previous iteration,
- The forward recursion finished, the last computed forward state metric $\alpha$ is stored in the upper stake of the current window,
- The backward recursion is initialized with backward state metric $\beta$ value from the lower stake of the next window in the previous respective SISO step, i.e. the next window of the same SISO step (SISO1 or SISO2) in the previous iteration,
- The backward recursion finished, the last computed backward state metric $\beta$ is stored in the lower stake of the current window.

Preferentially, the previous window of a same SISO step in the previous iteration is the immediately preceding window of a same type of SISO step (either the type SISO1 or the type SISO2) in the immediately preceding iteration, and the next window of the same SISO step in the previous iteration is the consecutive window of the same kind of SISO step in the immediately preceding iteration.

An example of such decoding is illustrated in the Figure 3. On the diagram of the Figure 3, the X-axis represents the time and the Y-axis represents the windows WID that are

processed. In this example, there are 2 iterations ITER_0 and ITER_1. We suppose that a window WID has four input data to compute.

During the first iteration ITER_0, the following steps are performed.

**In a first step 1),** a first SISO step SISO1 is performed by the different computation units COMP associated to its windows WID.

A first computation unit COMP1 processes the first window WID1 (0-W) of size W of the input data block B.

There is a forward recursion computation, which computes the forward state metric vectors $\alpha$ of said first window WID1, wherein said forward recursion is initialized, with arbitrary value, 0 for example, that is to say the first forward state metric vector $\alpha 0$ has the value 0.

This forward recursion finished, the last computed forward state metric vector $\alpha$ is stored in the upper stake $STK\_W_{SISO1}$ of this window WID1.

Then, there is a backward recursion, which computes the backward state metric vectors $\beta$ of this first window WID1, wherein said backward recursion is initialized, with 0 for example, that is to say the last backward state metric vector $\beta 3$ has the value 0.

The backward recursion finished, the last computed backward state metric vector $\beta$, here $\beta 0$, is not stored in a stake STK, as it won't be used in any SISO step.

In parallel with this first window WID1 processing, the other windows WID2, WID3, ... are processed by a second, third ... computation units COMP2, COMP3 ... respectively. Their processes are the same than for the first window WID1 described above unless for their last backward state metric vector $\beta$, which are stored in the lower stakes $STK_{SISO1}$.

For the last window WIDB, the forward recursion finished, the last forward computed state metric vector $\alpha$ of this window WIDB is not stored in a stake, as it won't be used.

Then, the backward recursion is initialized with a metrics vector computed by the termination generator, wherein said this vector is function of the tail bits, and is processed. The backward recursion finished, the last computed backward state metric $\beta 0$ is stored in the lower stake $STK\_B-W_{SISO1}$ for the next iteration's SISO1 step.

Note that in the Figure 3, all the plain gray-circles defined the current recursions initialized with 0, and all the transparent circles defined the current recursions initialized with the vector from the termination generator.

**In a second step 2),** the second SISO step SISO2 is performed by the different computation units COMP associated with its windows WID. Note that these computations units COMP are the same than those for the first SISO step SISO1, as the windows are the same.

The first computation unit COMP1 processes the first window WID1 of size W of the input data block B.

There is a forward recursion computation, which computes the forward state metric vectors $\alpha$ of said first window WID1, wherein said forward recursion is initialized, with 0, that is to say the first forward state metric vector $\alpha 0$ has the value 0.

This forward recursion finished, the last computed forward state metric vector $\alpha 3$ is store in the upper stake $STK\_W_{SISO2}$ of this window WID1.

Then, there is a backward recursion, which computes the backward state metric vectors $\beta$ of this first window WID1. Said backward recursion is initialized, with 0 for example, that is to say the last backward state metric vector $\beta 3$ has the value 0.

The backward recursion finished, the last computed backward state metric vector $\beta$ is not stored in a stake, as it won't be used in any SISO step.

In parallel with this first window WID1 processing, the other windows WID2, WID3, ... are processed by a second, third ... computation units COMP2, COMP3 ... respectively. Their processes are the same than for the first window WID1 described above unless for their last backward state metric vectors $\beta$, which are stored in the lower stakes $STK_{SISO2}$.

During the second iteration ITER_1, the following steps are performed.

**In a first step 1)**, a first SISO step SISO1 is performed by the different computation units COMP associated to its windows WID.

There is a forward recursion computation, which computes the forward state metric vectors $\alpha$ of said first window WID1, wherein said forward recursion is initialized, with arbitrary value, 0 for example, that is to say the first forward state metric vector $\alpha 0$ has the value 0.

This forward recursion finished, the last computed forward state metric vector $\alpha$ is stored in the upper stake $STK\_W_{SISO1}$ of this window WID1.

Then, there is a backward recursion, which computes the backward state metric vectors $\beta$ of this first window WID1, wherein said backward recursion is initialized, with the lower stake of the next window WID2 of the previous respective SISO1 step.

The backward recursion finished, the last computed backward state metric vector $\beta$, here $\beta 0$, is not stored in a stake STK, as it won't be used in any SISO step.

In parallel with this first window WID1 processing, the other windows WID2, WID3, ... are processed by a second, third ... computation units COMP2, COMP3 ... respectively.

For each of these windows,

- The forward recursion is initialized with the forward state metric vector $\alpha$ from the upper stake $STK_{SISO1}$ of the previous window of the previous iteration SISO1 step,

- The forward recursion finished, the last computed forward state metric vector $\alpha$ is stored in the upper stake $STK_{SISO1}$ of the current window,

- The backward recursion is initialized with the backward state metric vector $\beta$ from the lower stake $STK_{SISO1}$ of the next window of the previous iteration SISO1 step,

5        - The backward recursion finished, the last computed backward state metric vector $\beta$ is stored in the lower stake $STK_{SISO1}$ of the current window.

For example, for the second window WID2 (W-2W), the forward recursion is initialized with the forward state metric vector $\alpha3$ of the upper stake $STK\_W_{SISO1}$ of the window WID1 of the previous iteration SISO1 step, and the last computed forward state

10        metric vector $\alpha7$ is stored in the upper stake $STK\_2W_{SISO1}$ of this current window. The backward recursion is initialized with the backward state metric vector $\beta8$ of the lower stake $STK\_3W_{SISO1}$ of the window WID3 of the previous iteration SISO1 step, and the last computed backward state metric vector $\beta4$ is stored in the lower stake $STK\_2W_{SISO1}$ of this current window.

15        For the last window WIDB, the forward recursion is initialized with the last forward state metric vector $\alpha(b-W-1)$ from the lower stake $STK\_B-W_{SISO1}$. The forward recursion finished, the last computed state metric vector $\alpha(B-1)$ of this window WIDB is not stored in a stake STK, as it won't be used.

Then, the backward recursion is initialized with a state metric vector computed by

20        the termination generator, wherein said vector is function of the tail bits, and is processed. The backward recursion finished, the last computed backward state metric vector $\beta(B-W)$ is stored in the lower stake $STK\_BW_{SISO1}$ for the next iteration ITER_2 and thus for the next SISO1 step.

25        **In a second step 2)**, the second SISO step SISO2 is performed by the different computation units COMP associated with its windows WID. Note that these computations units COMP are the same than those for the first SISO step SISO1, as the windows are the same.

The first computation unit COMP1 processes the first window WID1 of size W of the

30        input data block B.

There is a forward recursion computation, which computes the forward state metric vectors $\alpha$ of said first window WID1, wherein said forward recursion is initialized, with arbitrary value, 0 for example, that is to say the first forward state metric vector $\alpha0$ has the value 0.

35        This forward recursion finished, the last computed forward state metric $\alpha$ is stored in the upper stake $STK\_W_{SISO2}$ of this window WID1.

Then, there is a backward recursion, which computes the backward state metric vectors β of this first window WID1, wherein said backward recursion is initialized, with the lower stake of the next window WID2 of the previous respective SISO2 step.

The backward recursion finished, the last computed backward state metric vector β, here β0, is not stored in a stake STK, as it won't be used in any SISO step.

In parallel with this first window WID1 processing, the other windows WID2, WID3, ... are processed by a second, third ... computation units COMP2, COMP3 ... respectively.

For each of these windows,

- The forward recursion is initialized with the forward state metric vector α from the upper stake $STK_{SISO2}$ of the previous window of the previous iteration SISO2 step,

- The forward recursion finished, the last computed forward state metric vector α is stored in the upper stake $STK_{SISO2}$ of the current window,

- The backward recursion is initialized with the backward state metric vector β from the lower stake $STK_{SISO2}$ of the next window of the previous iteration SISO2 step,

- The backward recursion finished, the last computed backward state metric vector β is stored in the lower stake $STK_{SISO2}$ of the current window.

For example, for the second window WID2 (W-2W), the forward recursion is initialized with the forward state metric vector α3 of the upper stake $STK\_W_{SISO2}$ of the window WID1 of the previous iteration SISO2 step, and the last computed forward state metric vector α is stored in the upper stake $STK\_2W_{SISO2}$ of this current window. The backward recursion is initialized with the backward state metric vector β8 of the lower stake $STK\_3W_{SISO2}$ of the window WID3 of the previous iteration SISO2 step, and the last computed backward state metric vector β4 is stored in the lower stake $STK\_2W_{SISO2}$ of this current window.

For the last window WIDB, the forward recursion is initialized with the last forward state metric vector α(B-W-1) from the lower stake $STK\_B\text{-}W_{SISO2}$. The forward recursion finished, the last computed state metric vector α(B-1) of this window WIDB is not stored in a stake STK, as it won't be used.

Then, the backward recursion is initialized with a metric vector computed by the termination generator, wherein said vector is function of the tail bits, and is processed. The backward recursion finished, the last computed backward state metric vector β(B-W) is stored in the lower stake $STK\_BW_{SISO2}$ for the next iteration ITER_3 and thus for the next SISO2 step.

As we can see, thanks to the method according to the invention, we gain a lot of time because all the computations for the windows during one SISO step are done in parallel

thanks to the system of initializations state metric issued from the previous step. Indeed, in a SISO step, all the windows are now independent from each other, as they don't need the results of another window of this SISO step anymore in order to be processed.

5          This example illustrated at Figure 3 was for an ideal data block B. But, often, we don't receive an ideal data block B, but a classic data block B. A classic data block B has a last window WID, which is smaller than the other, i.e., which contains a smaller number of data. In other words, the size of the last window is different from the size of the other windows.

10

As it can be noted, the method according to the invention applies adequately to an ideal data block sequence as well to a classic data block.

It is to be understood that the present invention is not limited to the aforementioned
15         embodiments and variations and modifications may be made without departing from the spirit and scope of the invention as defined in the appended claims. In the respect, the following closing remarks are made.

It is to be understood that the present invention can also be applied for other SISO structures where forward and backward recursions are processed in parallel: "X" or "D"
20         structures as shown in the Figure 4. Indeed, in these structures, the forward and backward recursions can also be initialized with the stakes from the previous SISO step.

It is to be understood that the present invention is not limited to the aforementioned UMTS application. It can be use within any application using a turbo-decoder for decoding
25         data using windows of data.

It is to be understood that the method according to the present invention is not limited to the aforementioned implementation.

There are numerous ways of implementing functions of the method according to the invention by means of items of hardware or software, or both, provided that a single item of
30         hardware or software can carries out several functions. It does not exclude that an assembly of items of hardware or software or both carry out a function, thus forming a single function without modifying the method of source decoding in accordance with the invention.

Said hardware or software items can be implemented in several manners, such as by
35         means of wired electronic circuits or by means of an integrated circuit that is suitable programmed respectively. The integrated circuit can be contained in a computer or in a decoder. In the second case, the decoder comprises computation units adapted to perform

forward and backward recursions, as described previously, said units being hardware or software items as above stated.

The integrated circuit comprises a set of instructions. Thus, said set of instructions contained, for example, in a computer programming memory or in a decoder memory may

5    cause the computer or the decoder to carry out the different steps of the decoding method.

The set of instructions may be loaded into the programming memory by reading a data carrier such as, for example, a disk. A service provider can also make the set of instructions available via a communication network such as, for example, the Internet.

10    Any reference sign in the following claims should not be construed as limiting the claim. It will be obvious that the use of the verb "to comprise" and its conjugations do not exclude the presence of any other steps or elements besides those defined in any claim. The article "a" or "an" preceding an element or step does not exclude the presence of a plurality of such elements or steps.

15

## CLAIMS

1. A method for decoding data, said method comprising iterations with some steps (SISO1, SISO2) using windows (WID) of input data, characterized in that the method comprises the steps of, for a current window (WID) of a step (SISO1, SISO2) within an iteration:
   - Performing a forward recursion, wherein said forward recursion is initialized with a forward state metric vector ($\alpha$) from the upper stake (STK) of a previous window of the same step (SISO1, SISO2) of a previous iteration, a window (WID) comprising a lower and an upper stakes (STK), and
   - Performing a backward recursion, wherein said backward recursion is initialized with a backward state metric vector ($\beta$) from the lower stake (STK) of a next window of the same step (SISO1, SISO2) of a previous iteration.

2. A method as claimed in claim 1, characterized in that the last computed forward state metric vector ($\alpha$) during the forward recursion is stored in an upper stake of said current window (WID), and the last computed backward state metric vector ($\beta$) during the backward recursion is stored in the lower stake (STK) of said current window (WID).

3. A method as claimed in claim 1, characterized in that all the windows (WID) of a step (SISO) are processed in parallel.

4. A decoder for decoding data, said decoding comprising iterations with some steps (SISO1, SISO2) using windows (WID) of input data, characterized in that it comprises computation units (CMP) for performing, for a current window (WID) of a step (SISO1, SISO2) within an iteration:
   - A forward recursion, wherein said forward recursion is initialized with a forward state metric vector ($\alpha$) from the upper stake (STK) of a previous window of the same step (SISO1, SISO2) of a previous iteration, a window (WID) comprising a lower and an upper stakes (STK), and
   - A backward recursion, wherein said backward recursion is initialized with a backward state metric vector ($\beta$) from the lower stake (STK) of a next window of the same step (SISO1, SISO2) of a previous iteration.

5. A receiver adapted to receive input data, said input data being processed by the decoder as claimed in claim 4.

6. A computer program product for a receiver, comprising a set of instructions, which, when loaded into said receiver, causes the receiver to carry out the method claimed in claims 1 to 3.

5

7. A computer program product for a computer, comprising a set of instructions, which, when loaded into said computer, causes the computer to carry out the method claimed in claims 1 to 3.

# Method for decoding data using windows of data

## ABSTRACT

The present invention relates to a method for decoding data, said method using windows (WID) of input data. The invention is characterized in that it comprises the

5     steps of, for a current window:

- Performing a forward recursion, wherein said forward recursion is initialized with a forward state metric vector ($\alpha$) from the upper stake (STK) of the previous window of the same step of the previous iteration, a window (WID) comprising a lower and an upper stakes (STK), and

10     - Performing a backward recursion, wherein said backward recursion is initialized with a backward state metric vector ($\beta$) from the lower stake (STK) of the next window of the same step of the previous iteration.


      Use:          Receiver in a communication system

15    Reference:     Fig. 3

FIG. 1

FIG. 2

FIG. 3

FIG. 4